

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

MAT-8856US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

To Be Assigned

ATION NO. (If known, see 37 CE)
signed 107586173

INTERNATIONAL APPLICATION NO.

PCT/JP2005/022991

INTERNATIONAL FILING DATE

08 December 2005 (08.12.2005)

PRIORITY DATE CLAIMED

08 December 2004 (08.12.2004)

TITLE OF INVENTION REFRIGERANT COMPRESSOR

APPLICANT(S) FOR DO/EO/US

Hiroataka KAWABATA, Hironari AKASHI, Kazuhiro YOKOTA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Certificate of Express Mail; PTO-2038**

JP2005/022991 PCT/JP05/017006

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/586173 to be assigned		INTERNATIONAL APPLICATION NO. PCT/JP2005/022991		ATTORNEY'S DOCKET NUMBER MAT-8856US													
The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations..... \$200 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US, and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500 TOTAL OF 21, 22 and 23 =				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300</td> <td></td> </tr> <tr> <td>\$ 200</td> <td></td> </tr> <tr> <td>\$ 400</td> <td></td> </tr> <tr> <td>\$ 900</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$ 300		\$ 200		\$ 400		\$ 900			
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<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. <table style="width:100%;"> <tr> <td style="width:20%;">Total Sheets</td> <td style="width:20%;">Extra Sheets</td> <td style="width:20%;">Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td style="width:20%;">RATE</td> </tr> <tr> <td>40</td> <td>- 100 =</td> <td>/50 =</td> <td>x \$250</td> </tr> </table>				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	40	- 100 =	/50 =	x \$250	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">\$</td> </tr> </table>			\$		
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">\$</td> </tr> </table>			\$										
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">\$</td> </tr> </table>			\$										
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;"></td> <td style="width:50%; text-align: right;">\$</td> </tr> </table>			\$										
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a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Amount to be refunded:</td> <td style="width:50%; text-align: right;">\$</td> </tr> <tr> <td>Amount to be charged:</td> <td style="text-align: right;">\$</td> </tr> </table>		Amount to be refunded:	\$	Amount to be charged:	\$								
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<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p><input checked="" type="checkbox"/> Customer Number 52473</p> <p style="text-align: center;">OR</p> <p><input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482</p> <p><input type="checkbox"/> Ratner Prestia, P.O. Box 1596, Wilmington, DE 19899</p> <p>Date: July 17, 2006</p>																	
<div style="text-align: center;"> SIGNATURE Lawrence E. Ashery NAME 34,515 REGISTRATION NUMBER </div>																	

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Hirotaka Kawabata, et al.

Docket No.

MAT-8856US

Serial No.
10/586173
To Be Assigned

Filing Date

Herewith

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Invention: REFRIGERANT COMPRESSOR

I hereby certify that the following correspondence:

PTO Form 1390 Transmittal with Indicated Enclosures

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 17, 2006.

Dennis McDermott

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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